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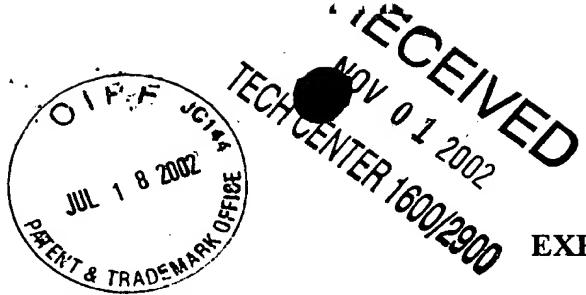
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PATENT IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Santoro et al.

Serial No.: 09/937,322

Group Art Unit: To Be Assigned

Filed: March 22, 2000

Examiner: To Be Assigned

For: CHEMICAL COMPOUNDS AND THEIR USES Attorney Docket No.: 10167-013-999

**TRANSMITTAL IN RESPONSE TO
NOTIFICATION OF MISSING REQUIREMENTS**

Assistant Commissioner for Patents
Box Missing Parts
Washington, D.C. 20231

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OFFICE OF PETITIONS

Sir:

In response to the Notification of Missing Requirements Under 35 U.S.C. § 371 in the United States Designated/Elected Office, mailed October 30, 2001 (a copy of which is attached), Applicants submit herewith three counterparts of a Declaration For Non-Provisional Patent Application (“Declaration”), the first executed by Inventor Maria Gabriella Santoro, the second executed by Inventor Stanley Michael Roberts and the third executed by Inventor Thierry Guyot.

It is estimated that the fee for filing the Declarations for a small entity is \$65.00. Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this paper is submitted herewith for accounting purposes.

Applicants respectfully request that the above-identified documents be made of record in the present application file.

Respectfully submitted,

Date December 20, 2001

35.203

Anthony M. Pisogna

(Reg. No.)

PENNIE & EDMONDS LLP
1155 Avenue of the Americas
New York, New York 10036-2711
(212) 790-9090

Enclosures



NOV 01 2002

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PENNIE & EDMONDS DOCKET NO. 10167-013-999

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As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below at 201 et seq. beneath my name.

I believe I am the original, first and sole inventor if only one name is listed at 201 below, or an original, first and joint inventor if plural names are listed at 201 et seq. below, of the subject matter which is claimed and for which a patent is sought on the invention entitled

CHEMICAL COMPOUNDS AND THEIR USES

and for which a patent application:

is attached hereto and includes amendment(s) filed on *(if applicable)*
 was filed in the United States on September 21, 2001 as Application No. 09/937,322.
 was filed as PCT international Application No. PCT/GB00/01086 on March 22, 2000.

I hereby state that I have reviewed and understand the contents of the above identified application, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

EARLIEST FOREIGN APPLICATION(S), IF ANY, FILED PRIOR TO THE FILING DATE OF THE APPLICATION			
APPLICATION NUMBER	COUNTRY	DATE OF FILING (day, month, year)	PRIORITY CLAIMED
9906587.2	United Kingdom	March 22, 1999	YES <input checked="" type="checkbox"/> NO <input type="checkbox"/>
			YES <input type="checkbox"/> NO <input type="checkbox"/>
			YES <input type="checkbox"/> NO <input type="checkbox"/>

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below.

PROVISIONAL APPLICATION NUMBER	FILING DATE
60/126,968	March 30, 1999

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose information known to me which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

NON-PROVISIONAL APPLICATION SERIAL NO.	FILING DATE	STATUS		
		PATENTED	PENDING	ABANDONED

* for use only when the application is assigned to a company, partnership or other organization.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

2 0 1	FULL NAME OF INVENTOR	LAST NAME Santoro	FIRST NAME Maria	MIDDLE NAME Gabriella
	RESIDENCE & CITIZENSHIP	CITY Avellino	STATE OR FOREIGN COUNTRY Italy	COUNTRY OF CITIZENSHIP Italy
	POST OFFICE ADDRESS	STREET Via Vasto, 8	CITY Avellino	STATE OR COUNTRY Italy
	SIGNATURE OF INVENTOR 201 <i>Marielle Santoro</i>			DATE 10 - DEC. 2001
2 0 2	FULL NAME OF INVENTOR	LAST NAME Roberts	FIRST NAME Stanley	MIDDLE NAME Michael
	RESIDENCE & CITIZENSHIP	CITY Kersbrook	STATE OR FOREIGN COUNTRY England	COUNTRY OF CITIZENSHIP United Kingdom
	POST OFFICE ADDRESS	STREET Blakemore House	CITY Kersbrook, Devon	STATE OR COUNTRY England
	SIGNATURE OF INVENTOR 202			DATE
2 0 3	FULL NAME OF INVENTOR	LAST NAME Guyot	FIRST NAME Thierry	MIDDLE NAME
	RESIDENCE & CITIZENSHIP	CITY Bristol	STATE OR FOREIGN COUNTRY England	COUNTRY OF CITIZENSHIP France
	POST OFFICE ADDRESS	STREET 49 Royal York Crescent	CITY Bristol	STATE OR COUNTRY England
	SIGNATURE OF INVENTOR 203			DATE
2 0 4	FULL NAME OF INVENTOR	LAST NAME	FIRST NAME	MIDDLE NAME
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
	POST OFFICE ADDRESS	STREET	CITY	STATE OR COUNTRY
	SIGNATURE OF INVENTOR 204			DATE
2 0 5	FULL NAME OF INVENTOR	LAST NAME	FIRST NAME	MIDDLE NAME
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
	POST OFFICE ADDRESS	STREET	CITY	STATE OR COUNTRY
	SIGNATURE OF INVENTOR 205			DATE



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JUN 24 2002 PEGGIE & EDMONDS LLC Docket No. 10167-013-999

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DECLARATION FOR NON-PROVISIONAL PATENT APPLICATION*

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As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below at 201 et seq. beneath my name.

I believe I am the original, first and sole inventor if only one name is listed at 201 below, or an original, first and joint inventor if plural names are listed at 201 et seq. below, of the subject matter which is claimed and for which a patent is sought on the invention entitled

CHEMICAL COMPOUNDS AND THEIR USES

and for which a patent application:

- is attached hereto and includes amendment(s) filed on *(if applicable)*
- was filed in the United States on September 21, 2001 as Application No. 09/937,322.
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I hereby state that I have reviewed and understand the contents of the above identified application, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

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APPLICATION NUMBER	COUNTRY	DATE OF FILING (day, month, year)	PRIORITY CLAIMED
9906587.2	United Kingdom	March 22, 1999	YES <input checked="" type="checkbox"/> NO <input type="checkbox"/>
			YES <input type="checkbox"/> NO <input type="checkbox"/>
			YES <input type="checkbox"/> NO <input type="checkbox"/>

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PROVISIONAL APPLICATION NUMBER	FILING DATE
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

2 0 1	FULL NAME OF INVENTOR	LAST NAME Santoro	FIRST NAME Maria	MIDDLE NAME Gabriella
	RESIDENCE & CITIZENSHIP	CITY Avellino	STATE OR FOREIGN COUNTRY Italy	COUNTRY OF CITIZENSHIP Italy
	POST OFFICE ADDRESS	STREET Via Vasto, 8	CITY Avellino	STATE OR COUNTRY Italy
	SIGNATURE OF INVENTOR 201			DATE
2 0 2	FULL NAME OF INVENTOR	LAST NAME Roberts	FIRST NAME Stanley	MIDDLE NAME Michael
	RESIDENCE & CITIZENSHIP	CITY Kersbrook	STATE OR FOREIGN COUNTRY England	COUNTRY OF CITIZENSHIP United Kingdom
	POST OFFICE ADDRESS	STREET Blakemore House	CITY Kersbrook, Devon	STATE OR COUNTRY England
	SIGNATURE OF INVENTOR 202			DATE
2 0 3	FULL NAME OF INVENTOR	LAST NAME Guyot	FIRST NAME Thierry	MIDDLE NAME
	RESIDENCE & CITIZENSHIP	CITY Bristol	STATE OR FOREIGN COUNTRY England	COUNTRY OF CITIZENSHIP France
	POST OFFICE ADDRESS	STREET 49 Royal York Crescent	CITY Bristol	STATE OR COUNTRY England
	SIGNATURE OF INVENTOR 203			DATE  7 dec. 2001
2 0 4	FULL NAME OF INVENTOR	LAST NAME	FIRST NAME	MIDDLE NAME
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
	POST OFFICE ADDRESS	STREET	CITY	STATE OR COUNTRY
	SIGNATURE OF INVENTOR 204			DATE
2 0 5	FULL NAME OF INVENTOR	LAST NAME	FIRST NAME	MIDDLE NAME
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
	POST OFFICE ADDRESS	STREET	CITY	STATE OR COUNTRY
	SIGNATURE OF INVENTOR 205			DATE



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PENNIE & EDMONDS LLC
OCKET NO. 10167-013-999

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DECLARATION FOR A PROVISIONAL PATENT APPLICATION*

As a below named inventor, I hereby declare that:

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CHEMICAL COMPOUNDS AND THEIR USES

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			YES <input type="checkbox"/> NO <input type="checkbox"/>
			YES <input type="checkbox"/> NO <input type="checkbox"/>

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		PATENTED	PENDING	ABANDONED

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	RESIDENCE & CITIZENSHIP	CITY Avellino	STATE OR FOREIGN COUNTRY Italy	COUNTRY OF CITIZENSHIP Italy
	POST OFFICE ADDRESS	STREET Via Vasto, 8	CITY Avellino	STATE OR COUNTRY Italy
	SIGNATURE OF INVENTOR 201			DATE
2 0 2	FULL NAME OF INVENTOR	LAST NAME Roberts	FIRST NAME Stanley	MIDDLE NAME Michael
	RESIDENCE & CITIZENSHIP	CITY Kersbrook	STATE OR FOREIGN COUNTRY England	COUNTRY OF CITIZENSHIP United Kingdom
	POST OFFICE ADDRESS	STREET Blakemore House	CITY Kersbrook, Devon	STATE OR COUNTRY England
	SIGNATURE OF INVENTOR 202			DATE <i>Susie Roberts</i> 6 Dec 2001
2 0 3	FULL NAME OF INVENTOR	LAST NAME Guyot	FIRST NAME Thierry	MIDDLE NAME
	RESIDENCE & CITIZENSHIP	CITY Bristol	STATE OR FOREIGN COUNTRY England	COUNTRY OF CITIZENSHIP France
	POST OFFICE ADDRESS	STREET 49 Royal York Crescent	CITY Bristol	STATE OR COUNTRY England
	SIGNATURE OF INVENTOR 203			DATE
2 0 4	FULL NAME OF INVENTOR	LAST NAME	FIRST NAME	MIDDLE NAME
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
	POST OFFICE ADDRESS	STREET	CITY	STATE OR COUNTRY
	SIGNATURE OF INVENTOR 204			DATE
2 0 5	FULL NAME OF INVENTOR	LAST NAME	FIRST NAME	MIDDLE NAME
	RESIDENCE & CITIZENSHIP	CITY	STATE OR FOREIGN COUNTRY	COUNTRY OF CITIZENSHIP
	POST OFFICE ADDRESS	STREET	CITY	STATE OR COUNTRY
	SIGNATURE OF INVENTOR 205			DATE



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U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/937,322	Santoro	10167-013-999
INTERNATIONAL APPLICATION NO.		
PCT/GB00/01086		
I.A. FILING DATE	PRIORITY DATE	
03/22/2000		

Anthony M. Insogna
 Pennie & Edmonds
 1155 Avenue of the Americas
 New York, NY 10036-2711

Oath or Declaration
 12/30/01

Date Mailed: 10/30/2001

CONFIRMATION NO. 9500
 371 FORMALITIES LETTER



OC00000006976224

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

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- U.S. Basic National Fees
- Indication of Small Entity Status
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Preliminary Amendments
- Request for Immediate Examination
- Small Entity Statement

OFFICE OF PETITIONS

REFERRED TO	JUL 23 2002
REC'D	
NOV 07 2001	
Pennie & Edmonds	
O.K. for filing	

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

MAMIE P PERSON

Telephone: (703) 305-3737

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/937,322	PCT/GB00/01086	10167-013-999

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Express Mail No.: EL 477 033 139 US

Date Mailed: December 20, 2001

Serial No.: 09/937,322 Filed: March 22, 2000

Inventor: Santoro et al.

For: CHEMICAL COMPOUNDS AND THEIR USES

The stamp of the Patent Office hereon may be taken as an acknowledgment of the date stamped of the following:

(X) Transmittal in Response to Notification of Missing Requirements;
(X) Declaration for Non-Provisional Patent Application Executed by Maria Gabriella Santoro;
(X) Declaration for Non-Provisional Patent Application Executed by Stanley Michael Roberts;
(X) Declaration for Non-Provisional Patent Application Executed by Thierry Guyot; and
(X) a copy of the Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US)

File No. 10167-013-999

Sender: AMI/JJC/NJD

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Pennie & Edmonds LLP
1155 Avenue of the Americas
New York, NY 10109-1441

